Docket No. 71758/46943-CIP2

Applicant(s): Jin-an JIAO, et al.

Serial No.:

09/990,586

Examiner: Not yet assigned

Filed:

November 21, 2001

Group Art Unit No.: 1644

For:

ANTIBODIES FOR INHIBITING BLOOD COAGULATION AND METHODS

OF USE THEREOF

CERTIFICATE OF MAILING

I hereby certify that this paper (along with any paper referred to as being attached or enclosed) is being deposited with the United States Postal Service with sufficient postage as Express Mail in an envelope addressed to: BOX MISSING PARTS, Commissioner for Patents, Washington, D.C. 20231 on July 17, 2002, under Express Mail Label No. EL 933049173 US.

Helen Murray Tarbi

BOX MISSING PARTS Commissioner for Patents Washington, D.C. 20231

Sir/Madam:

RESPONSE TO NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

The following is in response to the Notice to File Missing Parts of Nonprovisional Application, mailed December 17, 2001, in the above-referenced application.

Enclosed herewith for filing in the subject application are the following:

- 1. Copy of the Notice to File Missing Parts of Nonprovisional Application;
- 2. Seventeen Sheets of Formal Drawings (FIGS. 1A, 1B, 2-5, 6A, 6B, 7, 8A, 8B, 9A-D, 10A, 10B, 11, 12A-12D, 13A-13D, 14A, 14B, 15A and 15B);
- 3. Paper Copy of Sequence Listing;
- 4. Computer Readable Form (CRF) of Sequence Listing (1 disc);

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- Preliminary Amendment Directing Entry of Sequence Listing and Adding SEQ
 ID NOs. to Specification and claims;
- 6. Statement Accompanying Submission Of Sequence Listing Under 37 C.F.R. § 1.821(g) prepared by Consultant;
- 7. Associate Power of Attorney;
- 8. Copy of Declaration and Power of Attorney from parent case;
- 9. Petition For Five (5) Month Extension of Time (included in this paper);
- 10. A check for \$2,245.00; and
- 11. A return receipt postcard.

CLAIM OF SMALL ENTITY STATUS

Pursuant to 37 C.F.R. § 1.27, Applicants claim small entity status.

PETITION AND FEE FOR EXTENSION OF TIME; 37 C.F.R. 1.136(a)

This is a petition pursuant to 37 C.F.R. 1.136(a) for an extension of time for a total period of 5 months from February 17, 2002, to July 17, 2002, to respond to the Notice To File Missing Parts of NonProvisional Application mailed on December 17, 2001.

FEES (SMALL ENTITY)

 Surcharge for late payment of filing fee and/or late filing of original declaration or oath and excess claims fees
 \$ 1265.00

2 Extension of Time (5 months)

\$ 980.00

TOTAL \$ 2245.00

Enclosed herewith is a check for \$ 2245.00 for payment of the total fee due.

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If for any reason, the fee paid is inadequate or credit is owed for any excess fee paid, you are hereby authorized and requested to charge Deposit Account No. **04-1105**.

Date: July 17 2002

Telephone: 617-439-4444 Customer No. 21874

BOS2_3006128

Respectfully submitted,

Dianne M. Rees, Ph.D.

(Reg. No. 45,281)

Dike, Bronstein, Roberts, & Cushman

Intellectual Property Group of EDWARDS & ANGELL, LLP

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United States Patent and Trademark Office

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APPLICATION NUMBER FILING/RECEIPT DATE FIRST NAMED APPLICANT ATTORNEY DOCKET NUMBER 09/990.586

11/21/2001

Jın-An Jıao

46943-CIP2 (71758)

CONFIRMATION NO. 2050

21874 DIKE, BRONSTEIN, ROBERTS AND CUSHMAN. INTELLECTUAL PROPERTY PRACTICE GROUP

EDWARDS & ANGELL, LLP. P.O. BOX 9169 BOSTON, MA 02209

FORMALITIES LETTER

DFC 2 & 2001

Date Mailed: 12/17/2001

NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

FILED UNDER 37 CFR 1.53(b)

Filing Date Granted

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given TWO MONTHS from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

The statutory basic filing fee is missing. Applicant must submit \$ 740 to complete the basic filing fee for a non-small entity. If appropriate, applicant may make a written assertion of entitlement to small entity status and pay the small entity filing fee (37 CFR 1.27). 07/24/2002 BNGUYEN1 00000115 09990586

Total additional claim fee(s) for this application is \$1660.

370.00 OP \$1044 for 58 total claims over 20. 522.00 OP 03 FC:203 \$336 for 4 independent claims over 3. 04 FC:202 168.00 OP 05 FC:204 140.00 OP ■ \$280 for multiple dependent claim surcharge.

The oath or declaration is missing.

A properly signed oath or declaration in compliance with 37 CFR 1.63, identifying the application by the above Application Number and Filing Date, is required.

- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(I) of \$130 for a non-small entity, must be submitted with the missing items identified in this letter.
- The balance due by applicant is \$ 2530.

The application is informal since it does not comply with the regulations for the reason(s) indicated below.

The required item(s) identified below must be timely submitted to avoid abandonment:

- Substitute drawings in compliance with 37 CFR 1.84 because:
 - drawing sheets do not have the appropriate margin(s) (see 37 CFR 1.84(g)). Each sheet must include a top margin of at least 2.5 cm. (1 inch), a left side margin of at least 2.5 cm. (1 inch), a right side margin of at least 1.5 cm. (5/8 inch), and a bottom

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margin of at least 1.0 cm. (3/8 inch);

• This application clearly fails to comply with the requirements of 37 C.F.R. 1.821-1.825. Applicant's attention is directed to the final rulemaking notice published at 55 FR 18230 (May 1, 1990), and 1114 OG 29 (May 15, 1990). If the effective filing date is on or after July 1, 1998, see the final rulemaking notice published at 63 FR 29620 (June 1, 1998) and 1211 OG 82 (June 23, 1998). If the effective filing date is on or after September 8, 2000, see the final rulemaking notice published in the Federal Register at 65 FR 54604 (September 8, 2000) and 1238 OG 145 (September 19, 2000). Applicant must provide an initial computer readable form (CRF) copy of the "Sequence Listing", an initial paper or compact disc copy of the "Sequence Listing", as well as an amendment directing its entry into the application. Applicant must also provide a statement that the content of the sequence listing information recorded in computer readable form is identical to the written (on paper or compact disc) sequence listing and, where applicable, includes no new matter, as required by 37 CFR 1.821(e), 1.821(f), 1.821(g), 1.825(b), or 1.825(d). If applicant desires the sequence listing in the instant application to be identical with that of another application on file in the U.S. Patent and Trademark Office, such request in accordance with 37 CFR 1.821(e) may be submitted in lieu of a new CRF.

For questions regarding compliance to these requirements, please contact:

- For Rules Interpretation, call (703) 308-4216
- To Purchase Patentin Software, call (703) 306-2600
- For Patentin Software Program Help, call (703) 306-4119 or e-mail at patin21help@uspto.gov or patin3help@uspto.gov

A copy of this notice <u>MUST</u> be returned with the reply.

Customer Service Center

Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE

File Missing PARTS
Edwards & Angell LLP

Dike, Bronstein, Roberts & Cushma:

101 Federal St. Boston, MA 02110

Date Rec'd 12/26/01
Docketed For 66/17/2002-Jal. 17/2002 By KRD

Approved_